

SECTION 3


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|--|--|--|--|---------------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 | | TYPED OR PRINTED NAME Wilhelm Albert Palmén Jr. | | SIGNATURE | |
| CUSTOMER NO. 24353 | | | | | |
| ATTORNEY DOCKET STAN-209 | | | | | |
| FIRST NAMED INVENTOR Kuo et al. | | | | | |
| APPLICATION NUMBER 09/733,266 | | | | | |
| CONFIRMATION NUMBER 3109 | | | | | |
| FILING DATE December 8, 2000 | | | | | |
| GROUP ART UNIT 1614 | | | | | |
| EXAMINER NAME Unassigned | | | | | |
| TITLE: "METHODS FOR MODULATION OF OOCYTE ACTIVATION" | | | | | |

| | |
|---|---|
| ENCLOSURES (check all that apply) | |
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Amendment/Response After Final Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement, 1449 and references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts) | <input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> Request for Refund After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Request for a Corrected Filing Receipt (w/ a copy of the incorrect filing receipt) <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <input type="checkbox"/> -Return Postcard <input type="checkbox"/> -A copy of the unsigned combined Declaration/Power of Attorney as filed on 12/08/00 <input type="checkbox"/> -A copy of the USPTO date stamped Return Postcard indicating that all items filed on 12/08/00 were received. | |

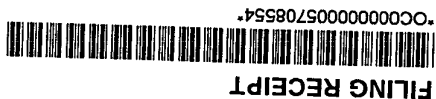
| | |
|--|---|
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
| INDIVIDUAL NAME Pamela J. Sherwood | FIRM NAME BOZICEVIC, FIELD & FRANCIS LLP |
| REGISTRATION NO. 36,677 | DATE February 5, 2001 |



UNITED STATES PATENT AND TRADEMARK OFFICE

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|--|--|------------|--|-------------|--|------------|--|--------------|--|------|--|-----|--|
|  | | | | | | | | | | | | | |
| APPLICATION NUMBER | | 09/733,266 | | FILING DATE | | 12/08/2000 | | GRP ART UNIT | | 1614 | | 355 | |
| ATTY. DOCKET NO. | | STAN-209 | | DRAWINGS | | 11 | | TOT CLAIMS | | 18 | | | |
| WASHINGTON, D.C. 20231 COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE www.uspto.gov | | | | | | | | | | | | | |

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



0C0000000005708554

FILING RECEIPT

Date Mailed: 01/26/2001

Pamela J. Sherwood
BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please provide a copy of this Filing Receipt with the Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Kuo, Fremont, CA
Pamela J. Sherwood
Assignment For Published Patent Application

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/172,692 12/10/1999

Foreign Applications

If Required, Foreign Filing License Granted 01/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Bozicevic, Field & Francis

JAN 29 2001

RECEIVED

DOCKETED

12/12/99

3/25/01

Methods for modulation of oocyte activation

Preliminary Class
514

Data entry by : PHAM, MINH

Team : OIPE

Date: 01/26/2001





File No. STAN-209
Application No. To Be Assigned
Filed: Herewith (December 8, 2000)
Date Mailed: December 8, 2000
Atty/Sec: PJS:wap

EXPRESS MAIL LABEL NO. EL 563 388 545 US

Title: "METHODS FOR MODULATION OF OOCYTE ACTIVATION"
Inventor(s): Kuo et al.

Enclosure:

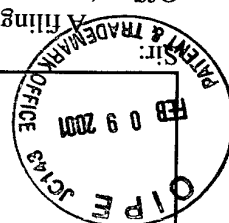
- ◆ Utility Patent Application Transmittal (2 pages)
- ◆ Fee Transmittal-w/duplicate copy (2 pages total)
- ◆ Application Cover Page (1 page)
- ◆ Specification (21 pages)
- ◆ Formal Drawings (11 sheets total)
- ◆ Unsigned Combined Declaration/Power of Attorney (3 pages)

Acknowledge Receipt of enclosures
By imprinting PTO Date Stamp and returning to
addresssee



jc912 U.S. PTO
09/733266

| | |
|---|------------------------------------|
| CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. | |
| Typed or Printed Name Wilhelm Albert Palmer Jr. | Signature |
| Date February 5, 2001 | |
| REQUEST FOR CORRECTED FILING RECEIPT Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | |
| Customer Number 24353 | Attorney Docket STAN-209 |
| Confirmation Number 3109 | First Named Inventor Kuo et al. |
| Application Number 09/733,266 | Filing Date December 8, 2000 |
| Group Art Unit 1614 | Examiner Name Unassigned |
| Title: "METHODS FOR MODULATION OF OOCYTE ACTIVATION" | |



Office (copy attached) and has been found to contain the following error(s):
 A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark

(1) In the "Applicant(s)" section the following inventor's names and city/state of residence are missing:

-David Epel, Carmel, California;
 -Gregory Baxter, Ithaca, New York.

Please correct the "Applicant(s)" section by adding the following information: David Epel, Carmel, California and Gregory Baxter, Ithaca, New York. A copy of the unsigned combined declaration/power of attorney as filed on December 8, 2000 is enclosed indicating that the application was filed naming G. Baxter and D. Epel as inventors. A copy of the USPTO date-stamped Return Postcard indicating that all items filed on December 8, 2000 were received is enclosed for your convenience.

We feel that this error was on the part of the United States Patent and Trademark Office. Therefore, Applicants feel there are no fees due in the connection of this request for a corrected filing receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,
 BOZICEVIC, FIELD & FRANCIS LLP

Date: February 5, 2001
 By:
 Pamela J. Sherwood
 Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
 200 Middlefield Road, Suite 200
 Menlo Park, CA 94025
 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.



| | |
|------------------------|------------------|
| CUSTOMER NO. | 2-4353 |
| Attorney Docket Number | AN-209 |
| Confirmation Number | 3109 |
| First Named Inventor | Kuo et al. |
| Application Number | 09/733,266 |
| Filing Date | December 8, 2000 |
| Group Art Unit | 1614 |
| Examiner Name | Unassigned |

METHOD OF PAYMENT

1. ☒ The undersigned hereby asserts that Applicants are entitled to Small Entity status as defined under 37 CFR § 1.27.

2. ☐ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.

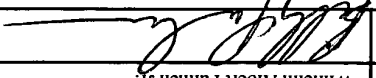
Deposit Account Name: Bozicevic, Field & Francis LLP

| 1. FILING FEE | | | | |
|----------------|-----------------|----------------|-----------------|------------------------|
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
| 101 | 710 | 201 | 355 | Utility filing fee |
| 102 | 320 | 206 | 160 | Design filing fee |
| 104 | 490 | 207 | 245 | Plant filing fee |
| 109 | 710 | 208 | 355 | Reissue filing fee |
| 110 | 150 | 214 | 75 | Provisional filing fee |
| Subtotal (1) | | | | \$0.00 |

| 2. CLAIMS | | | | |
|---|-----------------------------|----------------|-----------------|--|
| No. of claims as filed or after amendment | Most claims previously paid | Extra claims | Fee from below | Fee Due |
| Total claims | 18 | 20 | \$9.00 | \$0.00 |
| Ind. claims | 3 | 0 | \$40.00 | \$0.00 |
| Multiple Dependent claims | 3 | 0 | x | = |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of and over original patent |
| Subtotal (2) | | | | \$0.00 |

| 3. ADDITIONAL FEES | | | | |
|--------------------------------------|------------|----------------|------------|---|
| Large Fee Code | Entity Fee | Small Fee Code | Entity Fee | Fee Description |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 139 | 130 | 139 | 130 | Non-English specification |
| 115 | 215 | 55 | 55 | Ext. for reply within first month |
| 117 | 890 | 217 | 445 | Ext. for reply within third month |
| 128 | 1,890 | 228 | 945 | Ext. for reply within fifth month |
| 120 | 310 | 220 | 155 | Filing brief in support of appeal |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) |
| 123 | 50 | 123 | 50 | Petitions related to prov. appl. |
| 146 | 710 | 246 | 355 | Filing submission after final rejection (37 CFR 1.129(a)) |
| 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action |
| 143 | 440 | 243 | 220 | Design issue fee |
| 581 | 40 | 581 | 40 | Recording patent assignment |
| Reduced by Basic Filing Fee Paid | | | | |
| 143 | 440 | 243 | 220 | Design issue fee |
| 581 | 40 | 581 | 40 | Recording patent assignment |
| SUBTOTAL (3) \$65.00 | | | | |
| TOTAL AMOUNT TO BE CHARGED (\$65.00) | | | | |

| | |
|-----------------------|--|
| SUBMITTED BY | Complete (if applicable) |
| Typed or Printed Name | Patricia J. Sherwood, BOZICEVIC, FIELD & FRANCIS LLP |
| Signature | <i>Patricia J. Sherwood</i> |
| Date | February 5, 2001 |
| Reg. Number | 36,677 |
| Deposit Account | 50-0815 |

| | | |
|---|---|--------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. | | |
| Typed or Printed Name Wilhelm Albert Palmen Jr. | Signature  | Date February 5, 2001 |

CERTIFICATE OF MAILING

| | |
|--|------------------|
| RESPONSE TO MISSING PARTS Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 | |
| Customer Number | 24353 |
| Attorney Docket | STAN-209 |
| Confirmation Number | 3109 |
| First Named Inventor | Kuo et al. |
| Application Number | 09/733,266 |
| Filing Date | December 8, 2000 |
| Group Art Unit | 1614 |
| Examiner Name | Unassigned |
| Title: "METHODS FOR MODULATION FOR OOCYTE ACTIVATION" | |

Sir:

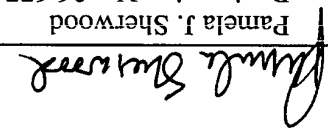
In response to the Notice to File Missing Parts dated January 26, 2001, transmitted herewith for filing are the following documents:

1. a copy of the Notice to File Missing Parts;
2. a Combined Declaration and Power of Attorney signed by the inventors.



The Commissioner is hereby authorized to charge the amount of \$65.00 for the late declaration/oath fee as required and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
 BOZICEVIC, FIELD & FRANCIS LLP

Date: February 5, 2001
 By: 
 Pamela J. Sherwood
 Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
 200 Middlefield Road, Suite 200
 Menlo Park, CA 94025
 Telephone: (650) 327-3400
 Facsimile: (650) 327-3231

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WASHINGTON, D.C. 20231
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UNITED STATES PATENT AND TRADEMARK OFFICE



| | | | | | | | |
|--------------------|------------|---------------------|------------|-----------------------|-------------|------------------------|----------|
| APPLICATION NUMBER | 09/733,266 | FILING/RECEIPT DATE | 12/08/2000 | FIRST NAMED APPLICANT | Richard Kuo | ATTORNEY DOCKET NUMBER | STAN-209 |
|--------------------|------------|---------------------|------------|-----------------------|-------------|------------------------|----------|

CONFIRMATION NO. 3109

FORMALITIES LETTER



0000000005708555

Pamela J. Sherwood
BOZCEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025



Date Mailed: 01/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

MP

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE